# Robust HGCD with No Backup Steps 

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## Comparison of gcd algorithms

| Algorithm | Time (ms) | \# lines |  |
| ---: | ---: | ---: | :--- |
| mpn_gcd | 1440 | 304 | GMP-4.1.4 (Weber) |
| mpn_rgcd | 87 | 1967 | "Classical" Schönhage gcd |
| mpn_bgcd | 93 | 1348 | Rec. bin. (Stehlé/Zimmermann) |
| mpn_sgcd | 100 | 760 | 1987 alg. (Schönhage/Weilert) |
| mpn_ngcd | 85 | 733 | New algorithm for GMP-5 |

## Questions

Q Where does the complexity come from?
A Accurate computation of the quotient sequence.

Q How to avoid that?
A Stop bothering about quotients.

## Outline

Background
Algorithm comparison
The half-gcd (HGCD) operation
Subquadratic HGCD

Quotient based HGCD
Jebelean's criterion

A robustness condition

Simple subquadratic HGCD

Conclusions

What is HGCD?

## Definition (Reduction)

$$
\binom{a}{b}=M\binom{\alpha}{\beta}
$$

- Positive integers $a, b, \alpha$, and $\beta$
- Matrix $M$, non-negative integer elements
- $\operatorname{det} M=1$


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## Definition (HGCD, "half gcd")

Input: $a, b$, of size $n$
Output: $M$, size of $\alpha, \beta$ and $M$ elements $\approx n / 2$

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## Definition (HGCD, "half gcd")

Input: $a, b$, of size $n$
Output: $M$, size of $\alpha, \beta$ and $M$ elements $\approx n / 2$

## Fact

For any reduction, $\operatorname{gcd}(a, b)=\operatorname{gcd}(\alpha, \beta)$

Main idea of subquadratic HGCD

$M \leftarrow M_{1} \cdot M_{2}$

## HGCD algorithm

$\operatorname{HGCD}(A, B)$
$1 \quad n \leftarrow \#(A, B)$
2 Select $p_{1} \approx n / 2$
$3 \quad M_{1} \leftarrow \operatorname{HGCD}\left(\left\lfloor 2^{-p_{1}} A\right\rfloor,\left\lfloor 2^{-p_{1}} B\right\rfloor\right)$
$4 \quad(A ; B) \leftarrow M_{1}^{-1}(A ; B)$
5 Perform a small number of divisions or backup steps.
$\triangleright A, B$ are now of size $\approx 3 n / 4$
6 Select $p_{2} \approx n / 4$
$7 \quad M_{2} \leftarrow \operatorname{HGCD}\left(\left\lfloor 2^{-p_{2}} A\right\rfloor,\left\lfloor 2^{-p_{2}} B\right\rfloor\right)$
$8 \quad(A ; B) \leftarrow M_{2}^{-1}(A ; B)$
9 Perform a small number of divisions or backup steps.
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$10 \quad M \leftarrow M_{1} \cdot M_{2}$
11 Return $M$

## HGCD algorithm

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11 Return \(M\)
    1. Simplify Steps 5 and 9.
    2. Eliminate multiplication in Step 8.
```


## Definition (Quotient sequence)

For any positive integers $a, b$, quotient sequence $q_{j}$ and remainder sequence $r_{j}$ are defined by

$$
\begin{aligned}
r_{0} & =a & r_{1} & =b \\
q_{j} & =\left\lfloor r_{j-1} / r_{j}\right\rfloor & r_{j+1} & =r_{j-1}-q_{j} r_{j}
\end{aligned}
$$

## Fact

$$
\binom{a}{b}=M\binom{r_{j}}{r_{j+1}}
$$

with

$$
M=\left(\begin{array}{cc}
q_{1} & 1 \\
1 & 0
\end{array}\right)\left(\begin{array}{cc}
q_{2} & 1 \\
1 & 0
\end{array}\right) \cdots\left(\begin{array}{cc}
q_{j} & 1 \\
1 & 0
\end{array}\right)
$$

## Theorem (Jebelean's criterion)

Let $a>b>0$, with remainders $r_{j}$ and $r_{j+1}$,

$$
\binom{a}{b}=\underbrace{\left(\begin{array}{ll}
u & u^{\prime} \\
v & v^{\prime}
\end{array}\right)}_{=M}\binom{r_{j}}{r_{j+1}}
$$

Let $p>0$ be arbitrary, $0 \leq A^{\prime}, B^{\prime}<2^{p}$, and define

$$
\begin{aligned}
\binom{A}{B} & =2^{p}\binom{a}{b}+\binom{A^{\prime}}{B^{\prime}} \\
\binom{R_{j}}{R_{j+1}} & =M^{-1}\binom{A}{B}=2^{p}\binom{r_{j}}{r_{j+1}}+M^{-1}\binom{A^{\prime}}{B^{\prime}}
\end{aligned}
$$

For even $j$, the following two statements are equivalent:
(i) $r_{j+1} \geq v$ and $r_{j}-r_{j+1} \geq u+u^{\prime}$
(ii) For any $p$ and any $A^{\prime}, B^{\prime}$, the $j$ th remainders of $A$ and $B$ are $R_{j}$ and $R_{j+1}$.

## Quotient based HGCD

## A generalization of Lehmer's algorithm

Define $\operatorname{HGCD}(a, b)$ to return an $M$ satisfying Jebelean's criterion.

## Example (Recursive computation)

$$
\begin{aligned}
(a ; b) & =(858824 ; 528747) \\
M_{1} & =(13,8 ; 8,5) \quad \text { No difficulties } \\
(c ; d) & =M_{1}^{-1}(a ; b)=16(4009 ; 194)+(0 ; 15) \\
M_{2} & =\operatorname{HGCD}(4009,194)=(21,20 ; 1,1) \\
M_{2}^{-1}(4009 ; 194) & =(129 ; 65) \quad \text { Satisfies Jebelean } \\
M & =M_{1} \cdot M_{2}=(281,268 ; 173,165) \\
M^{-1}(a ; b) & =(1764 ; 1355) \quad \text { Violates Jebelean }
\end{aligned}
$$

## Backup step

## Example (Fixing M)

$$
\begin{aligned}
(a ; b) & =(858824 ; 528747) \\
M & =M_{1} \cdot M_{2}=(281,268 ; 173,165) \\
M^{-1}(a ; b) & =(1764 ; 1355) \quad \text { Violates Jebelean }
\end{aligned}
$$

$M$ corresponds to quotients $1,1,1,1,1,1,1,20,1$.
E.g., $(A ; B)=8(a ; b)+(1 ; 7)$ has quotient sequence starting with
$1,1,1,1,1,1,1,20,2$.

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## Conclusion

- The quotients are correct for $(a ; b)$, but not robust enough.
- Must drop final quotient before returning $\operatorname{HGCD}(A, B)$.


## A robustness condition

## Definition (Robust reduction)

A reduction $M$ of $(a ; b)$ is robust iff

$$
M^{-1}\left\{\binom{a}{b}+\binom{x}{y}\right\}>0
$$

for all "small" $(x ; y)$. More precisely, for all $(x ; y) \in S$, where

$$
\begin{equation*}
S=\left\{(x ; y) \in \mathbb{R}^{2},|x|<2,|y|<2,|x-y|<2\right\} \tag{1}
\end{equation*}
$$

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$$

## Theorem

The reduction

$$
\binom{a}{b}=\underbrace{\left(\begin{array}{ll}
u & u^{\prime} \\
v & v^{\prime}
\end{array}\right)}_{=M}\binom{\alpha}{\beta}
$$

is robust iff $\alpha \geq 2 \max \left(u^{\prime}, v^{\prime}\right)$ and $\beta \geq 2 \max (u, v)$

## Sufficient conditions

## Corollary

If $\min (\alpha, \beta)>2 \max M$, then $M$ is robust.
Lemma (Strong robustess)
Let $n=\#(a, b)$ denote the bitsize of the larger of $a$ and $b$. If $\# \min (\alpha, \beta)>\lfloor n / 2\rfloor+1$, then $M$ is robust.

## Theorem (Schönhage/Weilert reduction)

For arbitrary $a, b>0$, let $n=\#(a, b)$ and $s=\lfloor n / 2\rfloor+1$. There exists a unique strongly robust $M$ such that $\# \min (\alpha, \beta)>s$ and $\#|\alpha-\beta| \leq s$.

## HGCD with strong robustness

```
\(\operatorname{HgCD}(A, B)\)
\(1 \quad n \leftarrow \#(A, B)\)
\(2 \quad s \leftarrow\lfloor n / 2\rfloor+1\)
\(3 \quad p_{1} \leftarrow\lfloor n / 2\rfloor\)
\(4 \quad M_{1} \leftarrow \operatorname{HGCD}\left(\left\lfloor 2^{-p_{1}} A\right\rfloor,\left\lfloor 2^{-p_{1}} B\right\rfloor\right)\)
\(5 \quad(C ; D) \leftarrow M_{1}^{-1}(A ; B) \triangleright \#|C-D| \approx 3 n / 4\)
6 One subtraction and one division step on ( \(C ; D\) ). Update \(M_{1}\).
\(7 \quad p_{2} \leftarrow 2 s-\#(C, D)+1\)
\(8 \quad M_{2} \leftarrow \operatorname{HGCD}\left(\left\lfloor 2^{-p_{2}} C\right\rfloor,\left\lfloor 2^{-p_{2}} D\right\rfloor\right)\)
9 return \(M_{1} \cdot M_{2}\)
- Uses strong robustness
- Returns with \(\#|\alpha-\beta| \leq s+2 k\), where \(k\) is the recursion depth.
- To compute Schönhage/Weilert reduction, need at most four additional division steps before returning.
```


## HGCD with plain robustness

$\operatorname{HGCD}(A, B)$
$1 \quad n \leftarrow \#(A, B)$
$2 \quad s \leftarrow\lfloor n / 2\rfloor+1$
$3 \quad p_{1} \leftarrow\lfloor n / 2\rfloor$
$4 \quad M_{1} \leftarrow \operatorname{HGCD}\left(\left\lfloor 2^{-p_{1}} A\right\rfloor,\left\lfloor 2^{-p_{1}} B\right\rfloor\right)$
$5 \quad(C ; D) \leftarrow M_{1}^{-1}(A ; B) \triangleright \#|C-D| \approx 3 n / 4$
6 One subtraction and one division step on ( $C ; D$ ). Update $M_{1}$.
$7 \quad p_{2} \leftarrow \# M_{1}+2$
$8 \quad M_{2} \leftarrow \operatorname{HGCD}\left(\left\lfloor 2^{-p_{2}} C\right\rfloor,\left\lfloor 2^{-p_{2}} D\right\rfloor\right)$
9 return $M_{1} \cdot M_{2}$

$$
M^{-1}\left\{\binom{A}{B}+\binom{x}{y}\right\}=2^{p_{2}} M_{2}^{-1}\{\binom{c}{d}+\underbrace{\binom{\delta c}{\delta d}+2^{-p_{2}} M_{1}^{-1}\binom{x}{y}}_{\text {disturbance } \in S}\}
$$

## Conclusions

## Conclusions

- HGCD in terms of correct quotients $\Longrightarrow$ complexity.
- Reduction matrices are important, quotients are not.
- "Robust reduction" is a powerful notion in analysis and algorithm design.
- Can use either the robustness condition, or Schönhage/Weilert's condition on bitsizes.


## Further work

Further analysis and experiments on the HGCD algorithm using plain robustness.

